

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: WALKER et al.

Serial No.: Not Yet Assigned

Filing Date: October 9, 2003

For: METHOD AND APPARATUS FOR
AUTHENTICATING DATA RELATING
TO USAGE OF A GAMING DEVICE

) Examiner: Not Yet Assigned
)
) Group Art Unit: Not Yet Assigned
)
) Attorney Docket No: 02-034
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) **CUSTOMER NO.: 22927**
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope with sufficient postage and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: 10/09/03 By:


Michael D. Brinton

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent

to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

1. ☐ Applicants respectfully direct the Examiner's attention to the pending U.S. patent applications listed on the enclosed Form PTO-1449. The Examiner is respectfully requested to fully consider and independently ascertain the teachings and relevance of those applications with respect to the instant application. Applicants would be pleased to provide the Examiner with a copy of these applications upon request.
2. ☐ For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a European Search Report from a counterpart European application is enclosed. Such items are marked as reference letter(s): _____.
3. ☐ For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application. Such items are marked as reference letter(s): _____.
4. ☒ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:

☒ 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.

☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491.

[] 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office Action on the merits.

5. [] No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. 1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.

6. [] A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c) after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action). The fee due under 37 C.F.R. §1.17(p) is to be paid as set forth in paragraph 10 below.

7. [] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in accordance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a Notice of Allowance, but before the payment of the issue fee, and is accompanied by:

- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
- b. this document is to be considered as a petition requesting consideration of the information disclosure statement.
- c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 10 below.

8. [] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:

- ☐ 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition to Withdraw Application from Issue;
- ☐ 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition to Withdraw Application from Issue.
- ☐ The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in paragraph 10 below.

9. ☐ I hereby certify:

- ☐ that each item of information contained in the items listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. A copy of such communication is enclosed.
- ☐ that no item of information contained or listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

10. ☐ Please accept payment of the fees due as indicated below:

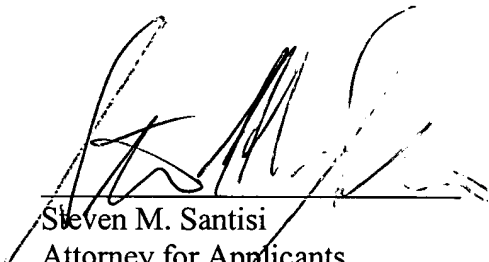
- ☐ A check in the amount of \$180.00 is enclosed in payment of the fee due under 37 C.F.R. 1.17(p).

☐ The Commissioner is hereby authorized to charge \$180.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(p).

11. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0271. A copy of this authorization is attached.

12. ☐ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed concurrently with a Request for Continued Examination.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Santisi", is written over a horizontal line.

Steven M. Santisi
Attorney for Applicants
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(203) 461-7054/phone
(203) 461-7300/fax

October 9, 2003
Date

INFORMATION DISCLOSURE CITATION				Docket Number 02-034		Serial Number Not Yet Assigned	
				Applicants WALKER et al.			
				Filing Date October 9, 2003		Group Art Unit Not Yet Assigned	

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIAT E
	A	4,856,787	08/15/89	Itkis	273	237	
	B	5,042,809	08/27/91	Richardson	273	138 A	
	C	5,046,736	09/10/91	Bridgeman et al.	273	85 G	
	D	5,073,931	12/17/91	Audebert et al.	380	23	
	E	5,342,047	08/30/94	Heidel et al.	273	85 CP	
	F	5,470,079	11/28/95	LeStrange et al.	273	138A	
	G	5,564,700	10/15/96	Celona	463	27	
	H	5,580,309	12/03/96	Piechowiak et al.	463	16	
	I	5,611,730	03/18/97	Weiss	463	20	
	J	5,655,961	08/12/97	Acres et al.	463	27	
	K	5,774,870	06/30/98	Storey	705	14	
	L	5,807,177	09/15/98	Takemoto et al.	463	47	

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation	
							Yes	No
	M	WO 02/32526 A1	04/25/02	PCT				

OTHER DOCUMENTS <i>(Including author, Title, Date, Pertinent Pages, Etc.)</i>		

EXAMINER	DATE CONSIDERED:
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIAT E
	N	5,819,092	10/06/98	Ferguson et al.	395	701	
	O	5,855,008	12/29/98	Goldhaber et al.	705	14	
	P	6,068,552	05/30/00	Walker et al.	463	21	
	Q	6,106,396	08/22/00	Alcorn et al.	463	29	
	R	6,149,522	11/21/00	Alcorn et al.	463	29	
	S	US 6,183,362 B1	02/06/01	Boushy	463	25	
	T	US 6,254,483 B1	07/03/01	Acres	463	26	
	U	US 6,264,561 B1	07/24/01	Saffari et al.	463	42	
	V	US 6,270,409 B1	08/07/01	Shuster	463	20	
	W	US 6,312,336 B1	11/06/01	Handelman et al.	463	40	
	X	US 6,319,125 B1	11/20/01	Acres	463	25	
	Y	US 6,350,199 B1	02/26/02	Williams et al.	463	16	
	Z	US 6,354,943 B1	03/12/02	Miura	463	29	

FOREIGN PATENT DOCUMENTS								
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							Yes	No

OTHER DOCUMENTS <i>(Including author, Title, Date, Pertinent Pages, Etc.)</i>	
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	AA	US 6,375,567 B1	04/23/02	Acres	463	25	
	BB	US 6,381,575 B1	04/30/02	Martin et al.	705	1	
	CC	US 6,394,899 B1	05/28/02	Walker	463	9	
	DD	US 6,398,644 B1	06/04/02	Perrie et al.	463	18	
	EE	US 6,407,761 B1	06/18/02	Ching et al.	345	835	
	FF	US 6,409,602 B1	06/25/02	Wiltshire et al.	463	42	
	GG	US 6,454,650 B1	09/24/02	Aronin	463	17	
	HH	US 6,457,640 B2	10/01/02	Ramachandran et al.	235	379	
	II	US 2002/0152120 A1	10/17/02	Howington	705	14	
	JJ	US 6,517,437 B1	02/11/03	Wells et al.	463	30	
	KK	US 6,530,842 B1	03/11/03	Wells et al.	463	46	

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							Yes	No

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EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIAT E
	LL	US 2003/0060283 A1	03/27/03	Rowe	463	42	
	MM	US 2003/0064801 A1	04/03/03	Breckner et al.	463	30	
	NN	US 6,578,051 B1	06/10/03	Mastronardi et al.	707	104.1	
	OO	US 6,620,047 B1	09/16/03	Alcorn et al.	463	37	

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation	
							Yes	No

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OTHER DOCUMENTS (Including author, Title, Date, Pertinent Pages, Etc.)			
	PP	Robbins, Vicky H., "Vendor Liability for Computer Viruses and Undisclosed Disabling Devices in Software", The Computer Lawyer, July 1993, Section: Proprietary Rights, Vol. 10, No. 7, pg. 20.	
	QQ	Kasturi, Rajeev, "Introducing SAP's Internet Business Framework; Company Business and Marketing", Intelligent Enterprise, April 10, 2000, Section: No. 6, Vol. 3, pg. 42.	
	RR	Kasturi, Rajeev, "The Tower of XML; Internet/Web/Online Service Information", Intelligent Enterprise, October 20, 2000, Section: No. 16, Vol. 3, pg. 34.	
	SS	Marcial, Gene G., "Multimedia Games Has Got Bingo", BusinessWeek Online, November 19, 2001, (http //www businessweek com/magazine/content/01_47/b3758119.htm).	
	TT	"MIS Signs Joint Venture to Distribute Downloadable Gaming; Monaco Company Partners with Cyberscan Technology", Business Wire, January 10, 2002.	
	UU	"Alliance Gaming Announces Corporate Agreement With Boyd Gaming to Supply Systems and Cashless Solutions", PR Newswire, February 25, 2003, Section: Financial News.	
	VV	Website: "About Multimedia Games Inc.", (http //www casinoenterprisemanagement com/news/viewArticle php?id=49&cat=supplier), download date: March 26, 2003.	
	WW	Website: "Mikohn Gaming Corporation: Key Developments", (http //news moneycentral msn com/ticker/sigdev asp?Symbol=MIKN), download date March 26, 2003.	
	XX	Website: "Multimedia Games, Inc./Games", (http //www multimediasgames com/Technology/Games htm), download date: March 26, 2003.	
	YY	Website: "Multimedia Games, Inc./Systems", (http //www multimediasgames com/Technology/Systems htm), download date: March 26, 2003.	
	ZZ	Website: "SAP – mySAP All-in-One Home", (http //www sap com/solutions/smb/allinone/), download date: March 27, 2003.	
	AAA	Website: "SAP – SAP xApps Home", (http //www sap com/solutions/xapps/), download date: March 27, 2003.	
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